IPu



Attorney Docket No.: 11884/407501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

Suresh R. BABU

SERIAL NO.

10/776,619

FILED

February 12, 2004

FOR

AUTOMATED RECALL MANAGEMENT SYSTEM FOR ENTERPRISE MANAGEMENT APPLICATIONS

GROUP ART UNIT

3629

EXAMINER

Dennis William RUHL

M/S: AMENDMENT Commissioner for Patents P.O. Box 1450

P.O. Box 1450 Alexandria, VA 22313-1450

Date: June 1, 2005

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: M/S: AMENDMENT, Commissioner for Patents, P.O. Box 450, Alexandria, VA 22313-1450 on

Barbara Van

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith is an Information Disclosure Statement under 37 CFR §1.97(b)(3) with three (3) reference(s) listed on the attached PTO-1449 (modified) form. A copy of the cited references is not enclosed since the present application was filed after June 30, 2003. (*See* OG Dated: August 5, 2003).

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

06/03/2005 RFEKADU1 00000026 110600 10776619

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This Information Disclosure Statement is being filed after three months of the filing date of the above-identified application or after the mailing date of the first Office Action on the merits, which event occurred last but before the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

If any fee is required, the Office is hereby authorized to charge any fees, or credit any overpayments under 37 CFR §1.17(p) to Kenyon & Kenyon, Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Dated: June 1, 2005

By:

Stephen T. Neal

(Reg. No. 47,815)

KENYON & KENYON 333 West San Carlos St., Suite 600 San Jose, CA 95110

Telephone:

(408) 975-7500

Facsimile:

(408) 975-7501



Form PTO-1449 (modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

Attorney Docket No. 11884/407501	Serial No. 10/776,619
Applicant Suresh R. BABU	
Filing Date February 12, 2004	Group Art Unit 3629

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	**6,381,509	April 30, 2002	Thiel et al.	700	115	November 2, 1998
	**2002/0082736	June 27, 2002	Lech et al.	700	108	December 27, 2000
	**2003/0065978	April 3, 2003	Adams	714	38	October 1, 2001

^{**}A copy is not enclosed since the present application was filed after June 30, 2003. (See OG Dated: August 5, 2003)

FOREIGN PATENT DOCUMENTS

						TRANSLA	TION
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER	DATE CONSIDERED
Dia tinti (Bit	2.112 00.10122102

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Approved for use through 07/31/2006. OMB 0551-0303 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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OIP TRANSMITTAL		Application Number		10/776,619		
PORM		Filing Date		Februai	ry 12, 2004	
1/ 2005 w		First Named Inventor		Suresh R. BABU		
JUN 0 3 ZUGG E		Art Unit		3629		
it the used for all correspondence after	r initial filing)	Examiner Name	-	Dennis	Dennis William RUHL	
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	hat apply)	T				
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under 37 CFR1.52 or 1.53	<u> </u>					-
		APPLICANT, ATTO	RNEY, OR	AGENT		\dashv
Firm	Kenyon &	Kenyon				
Signature I Man						
Printed Name	Printed Name Stephen T. Neal					
Date	June 1, 20	005	Reg. No.	47,815		
	CERTIFIC	ATE OF TRANSMIS	SION/MAIL	ING		_
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date-spown below. M/S: AMENDMENT						
Signature	MARA	Vancs				
Typed or printed name Barba	ra Vance			Date	June 1, 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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per life Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 、 病医管 TRANSMITTAL Complete if Known 10/776,619 **Application Number** for FY 2005 February 12, 2004 Filing Date Suresh R. BABU First Named Inventor g/01/2004. Patent fees are subject to annual revision. **Dennis William RUHL Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 3629 Art Unit 11884/407501 180.00 TOTAL AMOUNT OF PAYMENT Attorney Docket No.

MET	HOD OF PAYMENT (check all that app	oly)	FEE CALCULATION (continued)					
☐ Check ☐ C	redit card	None	3. ADDITIONAL FEES					
□ Deposit Accou	Order nt:		Large	Entity	Small E	ntity		
Deposit Account	11-0600		Fee	Fee	Fee	Fee	Fee Description Fee	Paid
Number		Code 1051	(\$) 130	Code 2051	(\$) 65	Surcharge - late filing fee or oath		
l			1052	50	2052	25	Surcharge - late mining red of batti	
Deposit Account	Kenyon & Kenyon		1,002		2002		sheet.	
Name	Renyon & Renyon		1053	130	1053	130	Non-English specification	
The Director is au	ithorized to: (check all that apply)		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
⊠ Charge fee(s) indicated below ⊠ Credit any overpayments ⊠ Charge any additional fee(s) or any underpayment of fee(s)		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
	ndicated below, except for the filing for filed deposit account.	ee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		 	1251	120	2251	60	Extension for reply within first month	
	FEE CALCULATION		1252	450	2252	225	Extension for reply within second month	
	LING FEE		1253	1,020	2253	510	Extension for reply within third month	
	Small Entity		1254	1,590	2254	795	Extension for reply within fourth month	
	ee Fee <u>Fee Description</u> code (\$)	Fee Paid	1255	2,160	2255	1,080	Extension for reply within fifth month	
,,,	001 395 Utility filing fee		1401	500	2401	250	Notice of Appeal	
1002 350 2	002 175 Design filing fee		1402	500	2402	250	Filing a brief in support of an appeal	
1003 550 2	003 275 Plant filing fee		1403	1,000	2403	500	Request for oral hearing	
1	004 395 Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional filling fee		1452	500	2452	250	Petition to revive - unavoidable	
	SUBTOTAL (1)	(\$) 0	1453	1,500	2453	750	Petition to revive – unintentional	
2 EXTRACIA	IM FEES FOR UTILITY AND REI	SSIIE	1501	1,400	2501	685	Utility issue fee (or reissue)	·
2. CATRA OLA		JOUL	1502	490	2502	245	Design issue fee	
	Extra Claims Fee from below	Fee Paid	1503	660	2503	330	Plant issue fee	
Total Claims	-20 ** = X 50.00] = [1460	130	1460	130	Petitions to the Commissioner	
Independent	-3 ** = X 200.00		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	-5 =] - []	1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
Multiple Dependent	x] = [8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee (\$)	Small Entity Fee Fee Fee Description		1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	Code (\$)		1810	790	2810	395	For each additional invention to be	
1202 50 1201 200	2202 25 Claims in excess of 20 2201 100 Independent claims in						examined (37 CFR § 1.129(b))	
1203 360	2203 180 Multiple dependent cla		1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200	2204 100 ** Reissue independer original patent		1802	900	1802	900	Request for expedited examination	
1205 50 2205 25 ** Reissue claims in excess of 20 and over original patent			/- : :	 = 3		of a design application		
SUBTOTAL (2) (\$) 0								
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**or number previo	usly paid, if greater; For Reissues, see ab	ove					SUBTOTAL (3) (\$) 18	0.00

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Stephen T. Neal	Registration No. (Attorney/Agent)	47,815	Telephone	(408) 975-7500			
Signature	Stephen I.M.	la		Date	June 1, 2005			

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